

Appendix B**Revision History – Summary of Principal Changes from Previous Versions**

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Version 2.1

Section A: Deleted sections referring to the variance period for data submission as the variance period has expired.

Section A: Eliminated manual data entry on the World Wide Web as a data transmission option.

Section A: Added File Transfer Protocol (FTP) as a data transmission option.

Section A: Clarified the implementation of EDI by adding an additional step. The Parallel Step now follows the Pilot Step creating a five step process.

Section C: Deleted references to the Release 2 format of EDI.

Section C: Eliminated manual data entry on the World Wide Web as a data transmission option.

Section E: Replaced regulations pertaining to WCIS and First Reports of Injury with web-site addresses where regulations are posted.

Section E: Added Industry Code (DN 25) to the list of required FROI data elements.

Section E: Removed Current Date Disability Began (DN 144) from the list of required SROI data elements

Section F: Deleted references to the Release 2 format of EDI.

Section F: Deleted reference to using the web site to submit claims data to the WCIS.

Section G: Added Parallel Pilot Phase to EDI transmission steps.

Section H: Deleted references to the Release 2 format of EDI.

Section J: Updated information on providers of EDI-related services.

Section K: Deleted references to the Release 2 format of EDI. Clarified language concerning criteria for submitting final (FN) and annual (AN) Subsequent Reports of Injury.

Section L: Deleted Release 2 data elements and references to the Release 2 format of EDI and deleted FROI UR data requirements.

Section L: Changed Social Security Number (DN 42) from Conditional/Minor to Mandatory/Serious and added Industry Code (DN 25) as a Conditional/Serious data element.

Section M: Deleted Release 2 data edits and references to the Release 2 format of EDI.

Section N: Deleted duplicate batch logic from the general rules for transaction processing and sequencing. Deleted Release 2 Maintenance Type Codes and references to the Release 2 format of EDI.

Section O: Updated Part of Body Codes for Subsequent Reports of Injury.

Section Q: Updated abbreviations and acronyms. Deleted references to the Release 2 format of EDI.

Appendix A: Deleted duplicate batch logic and references to the Release 2 format of EDI.

Version 2.0

Section B: Updated the contact information.

Section C: Language in sub-section 4 was updated to reflect the fact that Release 1 is the preferred file format even though WCIS still supports the Release 2 format.

Section C: Language in sub-section 7 was deleted on how to apply for a variance (delay) as the time deadline for requesting a variance has passed.

Section C: Modified title of current sub-section 7.

Section G: Updated contact information on where to get Trading Partner (TP) Profile forms and where to send the completed form.

Section G: Deleted the section that refers to a variance period as the variance period has expired.

Section G: Updated contact information on where the paper forms will be sent for parallel pilot phase.

Section G: Added two transmission mode options: Integrator and File Transfer Protocol (FTP).

Section G: Added a reference pointing to the February 15, 2002 version of the IAIABC Edit Matrix for information on error messages.

Section H: This section was modified to indicate that the mandatory switch to Release 2 has been postponed indefinitely.

Section H: Added information on the specific version of ANSI X12 that is compatible with WCIS.

Section I: Added detailed information on the FTP transmission mode option.

Section J: Corrected information on providers of EDI-related services.

Section K: Corrected the Trigger Event table to reflect a change in the revised WCIS system that Release 2 "AQ" MTC is not accepted. Alternatively, an "AU" MTC now needs to be sent.

Section L: Updated the Conditional Rules and Implementation Notes FROI: Release 1 and Release 2 data requirements Tables (See "Condition FROI" worksheet) to reflect that the Release 2 "AQ" MTC is not accepted in the revised WCIS system.

Section M: California-specific edits, noted in previous Implementation Guides as "planned edits", are adopted.

Section N: Updated to indicate that First Reports and Subsequent reports cannot be sent together in a single batch for Release 2 files.

Section N: Updated to reflect the various differences between the revised WCIS system and the old system. This information was included in ENEWS #36 and #37. It is also detailed in Section R. Please note:

For new claims submitted to the revised WCIS system, TPs will receive a new JCN that will be 22 digits. Existing claims will continue to keep the original 12 digit JCN.

Duplicate batches will not be processed. A duplicate batch has the same Sender ID (DN 98), Date Transmission Sent date (DN100), and Time Transmission Sent time (DN101) as an earlier batch received and processed by WCIS.

The MTC date must be less than or equal to the current date.

Rules that apply to Release 2 “AQ” transactions have been removed.

Only one “IP” transaction for the same claim will be allowed.

Medical-only claims with no indemnity payments may be closed with an “AN” MTC (must include a Claim Status = “closed”. “FN” MTC not required to close this type of claim.

ANSI X12 “ANs” must include the proper ANSI “frequency code”.

Transaction-level MTC rule for “FS” MTC: Must contain benefit record with BTC=240 or 524.

Secondary Match for FROIs, other than “AU” transactions, also applies to SROIs.

Compensatory Death MTC automatically closes all Indemnity Benefits (BENs). MTCs that open these closed BENs will be rejected.

Section O: Updates to various code tables.

Section P: Removed the IAIABC EDI implementation Guide Order form as a free downloadable version is posted on the IAIABC web site.

Section P: Removed explanation of differences between Release 1 and Release 2 data formats.

Section Q: Deleted the description of full variance as the variance period has expired.

Appendix A: New section added to detail differences that TPs need to note between the former and revised WCIS systems and past issues that may need clarification.

Version 1.2

Section A: Updated information on paper reporting requirements to the Division of Labor Statistics and Research (DLSR) during production phase.

Section B: Added description of WCIS e-News that is the WCIS email newsletter.

Section C: Added information on obtaining from the IAIABC a license to use the EDI transaction standards for transmitting data to a state.

Section E: Added copy of letter from Department of Industrial Relations (DIR) stating that fulfilling the requirements of the WCIS regulations regarding transmission of First Reports satisfies the obligation to send paper Employer's Reports (Form 5020) to DLSR. Added DLSR regulations pertinent to the filing of first reports.

Section F: Email address of State updated in Section D of Trading Partner Profile Form.

Section G: Information on paper reporting requirements to DLSR during production phase updated. Submission requirement of paper_Doctor's First Report (Form 5021) to WCIS during piloting phase changed to optional. Added that ANSI Trading Partners receive 997 Functional Acknowledgment in addition to 824 Detailed Acknowledgment. References to Section I – Transmission Modes added for e-mail and web site Trading Partners. Statements that web site users be able to receive e-mail acknowledgments removed. Piloting procedures clarified.

Section H: Modified to indicate that the ANSI X12 file format for First and Subsequent Reports of Injury Release 2 will be accepted as soon as an implementation guide has been approved by *either* ANSI or IAIABC. Updated WCIS schedule of Supported Transactions.

Section I: Clarified the fact that the Division of Workers' Compensation (DWC) will not pay VAN charges for either incoming or outgoing EDI transmissions. Added specific steps on how to send data as an e-mail attachment or through DWCs website.

Section J: Added new EDI service providers to listing.

Section K: Added Release 1 Subsequent Report table.

Section L: Added Release 1 Subsequent Report table of required data elements and updated data requirements.

Section M: Planned edit on Claim Administrator Claim Number (DN15) removed.

Section N: Deleted reference to CO being preceded by an error message. Also deleted paragraph stating that claims administrators can only update First Report Data elements. Added Benefit Processing Rules and clarified sequencing rules for First and Subsequent Reports. Fixed matching rules table to indicate that Jurisdiction Claim Number must currently be provided on MTC=01, 02, CO, and all subsequent reports. Clarified description of when secondary match data are used.

Section O: Added footnote to table of Employee Mailing Country Codes. Added code 99 – whole body – to Part of Body code list.

Section P: Added information on obtaining from the IAIABC a license to use the EDI transaction standards for transmitting data to a state.

Section Q: Added section on EDI Terminology.

Version 1.1

Sections A and C: Includes minor updates to reflect final regulations.

Section E: Contains updated WCIS regulations, as approved by the California Office of Administrative Law on October 6, 1999.

Section F: Includes a new subsection on who needs to complete the Trading Partner Profile form.

Section G: Introductory paragraph added. Updated to reflect current regulations.

Section J: Includes updated list of EDI service providers.

Section K: Specifies which Maintenance Type Codes are not accepted by WCIS.

Section L: Minor updates to data element requirements and conditional statements.

Section N: Updated “Matching Rules and Processes” table, and revised explanation in “Changed or Corrected Data”.

Section O: Removed code lists for Application Acknowledgement Code, Denial Reason Code, and Employment Status Code.

Version 1.02

Reporting deadlines have been revised throughout to match new timeline in proposed regulations dated June 22, 1999.

Section G: Test, pilot, production process has been revised to provide simpler and more efficient movement through early phases of testing.

Section H: WCIS support for Release 2 file formats has been changed, reflecting recent IAIABC approval of an ANSI X12 format for First and Subsequent Reports of Injury, Release 2.

Version 1.01

Includes revised regulations, removing from the current rulemaking the requirements to submit Medical Bill/Payment Reports.

References to medical reporting requirements are eliminated from throughout the current implementation materials.

Version 1.00

The version previous to 1.00 was not numbered, but was released in February, 1999. Version 1.00 includes substantial modifications throughout. The most significant of these are:

WCIS support for all Maintenance Type Codes has been added.

A schedule has been added indicating what file formats (Release 1, Release 2, flat-file, ANSI X12) will be supported and when.

Test, pilot, and production process has been specified.

California-specific data edits have been specified.

Matching rules and processes have been specified.

Transaction sequencing requirements have been specified.

Processing of acquired claims transactions has been specified.

Lists of valid codes have been added.